

Draft date: 11/3/2023

*2023 Fall National Meeting
Orlando, Florida*

VALUATION OF SECURITIES (E) TASK FORCE

Saturday, December 2, 2023

12:45 p.m. – 1:45 p.m. ET

Floridian Ballroom J-L & Corridor III - Level 1 - Bonnet Creek

ROLL CALL

Member

Doug Ommen, Chair
Eric Dunning, Vice Chair
Mark Fowler
Lori K. Wing-Heier
Ricardo Lara
Andrew N. Mais
Michael Yaworsky
Dean L. Cameron
Dana Popish Severinghaus
Vicki Schmidt
James J. Donelon
Kathleen A. Birrane
Gary D. Anderson
Grace Arnold
Chlora Lindley-Myers
D.J. Bettencourt
Justin Zimmerman
Adrienne A. Harris
Jon Godfread
Glen Mulready
Michael Humphreys
Carter Lawrence
Cassie Brown
Jon Pike
Scott A. White
Mike Kreidler
Nathan Houdek

Representative

Carrie Mears
Lindsay Crawford
Sheila Travis
Jeffrey Bethel
Laura Clements
Ken Cotrone
Carolyn Morgan
Eric Fletcher
Vincent Tsang
Tish Becker
Stewart Guerin
Matt Kozak
John Turchi
Fred Andersen
Debbie Doggett
Jennifer Li
John Sirovetz
James Everett
Matt Fischer
Diane Carter
Diana Sherman
Trey Hancock
Amy Garcia
Jake Garn
Doug Stolte
Steven E. Drutz
Amy Malm

State

Iowa
Nebraska
Alabama
Alaska
California
Connecticut
Florida
Idaho
Illinois
Kansas
Louisiana
Maryland
Massachusetts
Minnesota
Missouri
New Hampshire
New Jersey
New York
North Dakota
Oklahoma
Pennsylvania
Tennessee
Texas
Utah
Virginia
Washington
Wisconsin

NAIC Support Staff: Charles Therriault/Marc Perlman



AGENDA

Discuss and Consider for Adoption:

1. Consider Adoption of its Summer National Meeting Attachment One
(Doc. ID 2023-011.01)
—Carrie Mears (IA)

Hear a Staff Report:

2. The History of Filing Exemption Attachment Two
(Doc. ID: 2023-012.01)
—Marc Perlman (NAIC)

Receive, Discuss and Consider for Exposure or Referral:

3. Referral from SAPWG Schedule BA Proposal for Non-Bond Debt Securities Attachment Three
(Doc. ID: 2023-013.01, 2023-013.02) Attachments Three - A
—Carrie Mears (IA), Charles A. Therriault (NAIC), and Marc Perlman (NAIC)
4. Revised Proposed P&P Manual Amendment to Update the Definition of an NAIC Designation Attachment Four
(Doc. ID: 2022-012.08 / Appendix: 2022-012.05, 2022-012.06, 2022-012.06b, 2022-012.07, 2022-012.08) Appendix Four
—Carrie Mears (IA), Charles A. Therriault (NAIC), and Marc Perlman (NAIC)
5. Revised Proposed P&P Manual Amendment Authorizing the Procedures for the SVO's Discretion Over NAIC Designations Assigned Through the Filing Exemption Process Attachment Five
(Doc. ID: 2023-005.15 / Appendix: 2023-005.01, 2023-005.02, 2023-005.03, 2023-005.04, 2023-005.05, 2023-005.06, 2023-005.07, 2023-005.08, 2023-005.09, 2023-005.10, 2023-005.11, 2023-005.12, 2023-005.13, 2023-005.14) Appendix Five
—Carrie Mears (IA), Charles A. Therriault (NAIC), and Marc Perlman (NAIC)
6. Proposed P&P Manual Amendment to Add Practical Expedient to Determine the Issue Date for PLR filings Attachment Six
(Doc. ID: 2023-014.01)
—Carrie Mears (IA), Charles A. Therriault (NAIC), and Marc Perlman (NAIC)



Hear Staff Reports:

7. Updates on the Proposed CLO Modeling Methodology and Ad-hoc Working Group
—Eric Kolchinsky (NAIC)
8. Receive a Report on the Projects of the Statutory Accounting Principles (E) Working Group
—Carrie Mears (IA) and Julie Gann (NAIC)
9. Adjournment