Who we are ...

- Experienced Executive Team
  - Nexgate (Acq. PFPT): Rich & Devin (Co-Founders), Sharon, Adam
  - Proofpoint, Websense, Symantec, Neoteris, Checkpoint, UBS, Morgan Stanley

- Backed by leading VCs, Angels
  - VCs: Netroibe Ventures, Firebolt Ventures, WestWave Capital
  - Angels: LinkedIn, Salesforce, Canaan
  - Top tier Amazon Activate and Microsoft for Startups

- Leading Partners and Customers
  - Engaged with leading Insurance and FinServ organizations around call recording review, video supervision, and digital transformation
  - RingCentral, Zoom, Microsoft, Vidyard, Red Box, YouTube, Vimeo
Last Mile Compliance AI

Theta Lake Uses NLP and ML to detect risks in voice, visual, video, chat and documents. The platform leverages detections to power a visual and AI assisted workflow to ease the compliance review and reporting. Theta Lake improves efficacy, efficiency, consistency, and scales to meet modern compliance needs.
Why Compliance Needs to Review More

Follow the Leader: NYDFS Cybersecurity Regulation Leads the Way for Other States and Industries

More and more regulatory requirements

FINRA Clarifies What 'Extraordinary Cooperation' Really Means

Changing regs for privacy and security require more proactivity

Ohio adopts the NAIC’s Insurance Data Security Model Law

Personal accountability regimes reinforce proactivity

Cost of non-compliance skyrocketing
Top Use Cases

Effective & Efficient Insight for Evidence of Best Effort

- **Call Center and Collaboration Compliance**
  - Zoom, Teams, RingCentral, Glip, Red Box, Webex
  - Improved call recording review
  - Address modern chat collaboration

- **Digital Transformation Compliance**
  - YouTube, Vimeo, Vbrick, Vidyard, Videolicious
  - Enable personalization tools such as video voicemail
  - Improve advertising review for increased video production