Draft date: 11/12/26

2026 Fall National Meeting
Dallas, Texas

MARKET REGULATION AND CONSUMER AFFAIRS (D) COMMITTEE
Tuesday, November 17, 2026
9:15 – 10:30 a.m.
Convention Center—Texas AB—Level 3

ROLL CALL

Ann Gillespie, Chair	Illinois	Holly W. Lambert	Indiana
Angela L. Nelson, Vice Chair	Missouri	Sharon P. Clark	Kentucky
Heather Carpenter	Alaska	Mike Chaney	Mississippi
Charles Bassett	Arizona	Ned Gaines	Nevada
Jimmy Harris	Arkansas	D.J. Bettencourt	New Hampshire
Trinidad Navarro	Delaware	Michael Humphreys	Pennsylvania
Karima M. Woods	District of Columbia	Erin K. Hunter	West Virginia
Dean L. Cameron	Idaho		

NAIC Committee Support: Tim Mullen/Randy Helder

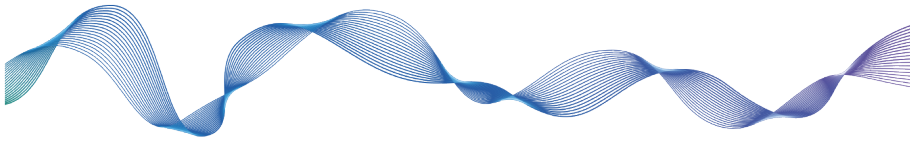
AGENDA

1. Consider Adoption of its Summer National Meeting Minutes —*Director Ann Gillespie (IL)* — Attachment One

2. Consider Adoption of Market Conduct Regulation Modernization Recommendations—*Director Ann Gillespie (IL)* — Attachment Two

3. Consider Adoption of its 2027 Proposed Charges —*Director Ann Gillespie (IL)* — Attachment Three

4. Consider Adoption of Producer Licensing (D) Task Force Work Products —*Monicka Richmeier (KS)*
   - A. Revisions to the NAIC *Independent Adjuster Licensing Guideline* (#1224) — Attachment Four
   - B. Template for the 1033 Written Consent Process — Attachment Five
   - C. Revisions to the NAIC *State Licensing Handbook* — Attachment Six
   - D. Revisions to Appendices B and C of the Continuing Education Reciprocity (CER) Agreement — Attachment Seven
   - E. Company Notice of Termination for Cause Form — Attachment Pending



5. Receive an Update on the Development of a Cybersecurity Event Response Coordination Framework—*Brett Bache (RI)*

6. Hear a Presentation on Metrics to Collect to Assess Prior Authorization Decisions—*Harold M. Ting (Individual Health Care Consumer Advocate)*

7. Discuss the Death Master File (DMF) and Other Tools Life Insurance Companies Use to Identify Deceased Policyholders —*Director Ann Gillespie (IL)* — Attachment Pending

8. Consider Adoption of the Reports of its Task Forces and Working Groups —*Director Ann Gillespie (IL)*
   A. Antifraud (D) Task Force—*Commissioner Trinidad Navarro (DE)* — Attachment Pending
   B. Producer Licensing (D) Task Force—*Monicka Richmeier (KS)* — Attachment Pending
   C. Advisory Organization (D) Working Group—*Tyler N. Erickson (ND)*
   D. Market Actions (D) Working Group—*David Buono (PA)*
   E. Market Analysis Procedures (D) Working Group —*Raymond A. Guzman (MD)* — Attachment Pending
   F. Market Conduct Annual Statement Blanks (D) Working Group —*Spencer Peacock (OR)* — Attachment Pending
   G. Market Conduct Examination Guidelines (D) Working Group —*Brett Bache (RI)* — Attachment Pending
   H. Market Conduct Regulation Modernization (D) Working Group —*Director Ann Gillespie (IL)* — Attachment Pending
   I. Market Information Systems (D) Working Group—*Brad Gerling (MO)* — Attachment Pending
   J. Market Regulation Certification (D) Working Group —*Bryan Stevens (WY)* — Attachment Pending
   K. Pharmacy Benefit Management (D) Working Group —*Joylynn Fix (WV)*
   L. Speed to Market (D) Working Group—*Julie Fairbanks (VA)* — Attachment Pending

9. Receive an Update from the Big Data and Artificial Intelligence (H) Working Group on the Artificial Intelligence (AI) Systems Evaluation Tool Pilot —*Miguel Romero (NAIC)*

10. Discuss Any Other Matters Brought Before the Committee —*Director Ann Gillespie (IL)*

11. Adjournment