

SECURITIES VALUATION OFFICE 2021 SCHEDULE

December 9, 2020	Post list of ND (Not Designated) securities that will be deleted on March 31 on the SVO website. List will include securities with ND, a 2019 Review Date and no Annual Update filing scanned into VISION.
March 31, 2021	Delete all ND securities without an Annual Update Filing received by the SVO. This process will run before 1st quarter processing.
June 14	Last day to submit initial filings for filing year 2020. The process was extended for 2021 to 165 days into the year.
November 2021	A mock year-end will be conducted to simulate all year-end processes during which beta test filers will receive sample AVS valuation files to review. Filers participating in the mock year-end will be notified as to specific testing dates as soon as they are determined.
December 4	Assign ND and 2020 Review Date to all securities in VOS with 2020 Review Date. These securities can still be updated for 2021 by submitting a complete Annual Update filing.
December 7	Post list of securities converted to ND on December 7 on the SVO website. This list will include securities with a 2021 Annual Update filing received at the SVO but not yet completed.
December 15	Assign IF/YE Designation Extensions for submitted filings for Initial and Annual Updates, respectively. Any filing submitted after this date will be completed on a best-efforts basis.
December 31	AVS disabled 5:00 PM Central Time.
December 31	FS/SVO performs year-end processing and review.
December 31	Beta test filers review sample valuation files. (late afternoon or evening)
January 4, 2022	10 AM Deadline for beta test filers to respond.
January 4	AVS released at 6:00 PM Central Time.
