

*Virtual Meeting**(in lieu of meeting at the 2023 Spring National Meeting)***MARKET REGULATION CERTIFICATION (D) WORKING GROUP**

Monday, February 27, 2023

2:00 – 3:00 p.m. ET / 1:00 – 2:00 p.m. CT / 12:00 – 1:00 p.m. MT / 11:00 a.m. – 12:00 p.m. PT

Meeting Summary Report

The Market Regulation Certification (D) Working Group met Feb. 27, 2023. During this meeting, the Task Force:

1. Adopted its Dec. 9, 2022, and 2022 Fall National Meeting minutes, which included the following action:
 - A. Adopted the Market Regulation Certification Program implementation plan.
2. Received an update on the revisions to the Voluntary Market Regulation Certification Program requirements, checklist, guidelines, and scoring matrix, which included: 1) adding “Objective” and “Measurement” sections to each requirement; 2) clarifying that requirement 3 measures staffing resources and that requirement 4 measures staffing qualifications; 3) rewriting requirement 4 to be consistent with the formatting of the other requirements; 3) combining requirements 6 and 11 since they both concern collaboration; 4) revising requirement 8 by moving the time requirements to the checklist; 5) updating the scoring matrix to be consistent with the revised requirements and checklist’ and 6) adding a glossary of terms.