

Annuities (2023)

Annuities Interrogatories

	Yes No Response	Explanation
01 Individual Indexed Fixed Annuities - Does the company have data to report for this product type?	----	----
02 Individual Other Fixed Annuities - Does the company have data to report for this product type?	----	----
03 Individual Indexed Variable Annuities - Does the company have data to report for this product type?	----	----
04 Individual Other Variable Annuities - Does the company have data to report for this product type?	----	----
05 Is there a reason that the reported Individual (Indexed or Other) Fixed Annuities information may identify the company as an outlier or be substantially different from previously reported data (such as assuming, selling or closing blocks of business; shifting market strategies; underwriting changes, etc)?	----	----
06 If yes, add additional comments.	----	
07 Is there a reason that the reported Individual Variable (Indexed or Other) Annuities information may identify the company as an outlier or be substantially different from previously reported data (such as assuming, selling or closing blocks of business; shifting market strategies; underwriting changes, etc)?	----	----
08 If yes, add additional comments.	----	
09 Does the company use third party administrators (TPAs) for purposes of supporting the individual annuity business being reported?	----	----
10 If yes, provide the names and functions of each TPA.	----	
11 Additional state specific Individual Fixed Annuities comments (optional):	----	
12 Additional state specific Individual Variable Annuities comments (optional):	----	

Annuities

	Individual Indexed Fixed Annuities	Individual Other Fixed Annuities	Individual Indexed Variable Annuities	Individual Other Variable Annuities
13 Number of replacement contracts issued during the period.				
14 Number of internal replacement contracts issued during the period.				
15 Number of external replacements of unaffiliated company contracts issued during the period.				
16 Number of external replacements of affiliated company contracts issued during the period.				
17 Number of contracts replaced where age of annuitant at replacement was < 65.				
18 Number of contracts replaced where age of annuitant at replacement was 65 to 80.				
19 Number of contracts replaced where age of annuitant at replacement was > 80.				
20 Number of new immediate contracts issued during the period.				
21 Number of new deferred contracts issued during the period where age of annuitant was < 65.				
22 Number of new deferred contracts issued during the period where age of annuitant was 65 to 80.				

Annuities (2023)

Annuities

		Individual Indexed Fixed Annuities	Individual Other Fixed Annuities	Individual Indexed Variable Annuities	Individual Other Variable Annuities
23	Number of new deferred contracts issued during the period where age of annuitant was > 80.				
24	Number of new deferred contracts issued during the period.				
25	Number of contracts surrendered under 2 years from policy issue.				
26	Number of contracts surrendered between 2 years and 5 years of policy issue.				
27	Number of contracts surrendered between 6 years and 10 years of policy issue.				
28	Number of contracts surrendered over 10 years from policy issue.				
29	Total number of contracts surrendered during the period.				
30	Total number of contracts surrendered with a surrender fee.				
31	Number of contracts applied for during the period.				
32	Number of free looks during the period.				
33	Number of contracts in force at the end of the period.				
34	Dollar amount of annuity considerations during the period.				
35	Number of complaints received directly from any person or entity other than the DOI.				
36	Number of lawsuits open at the beginning of the period.				
37	Number of lawsuits opened during the period.				
38	Number of lawsuits closed during the period.				
39	Number of lawsuits closed during the period with consideration for the customer.				
40	Number of lawsuits open at the end of the period.				

Annuities Attestation

	First Name	Middle Name	Last Name	Suffix	Title	Comments
41	First Attestor Information					----
42	Second Attestor Information					----
43	---	---	---	----	----	