

## What RBC Pages Should Be Submitted?

For the year-end 2022 health risk-based capital (RBC) filing, submit hard copies of pages **XR001 through XR027** to any state that requests a hard copy in addition to the electronic filing. Beginning with year-end 2007, a hard copy of the RBC filings was not required to be submitted to the NAIC. Other pages, outside of pages XR001 through XR027, do not need to be submitted. Those pages would need to be retained by the company as documentation.

## Editorial Changes

1. An editorial change was made to add “- BONDS” to the header of page XR007.
2. An editorial change was made to remove “Miscellaneous Fixed Income Assets” as a separate line, and “- MISCELLANEOUS” was added to the header of page XR008.
3. An editorial change was made to the Annual Statement Source column on page XR007 to reference the new Schedule D, Part 1; Schedule DA, Part 1; and Schedule E, Part 2 line numbers: Line (1) = C(1) = Sch. D, Pt 1, C11, L0109999999, C(2) = Sch. DA, Pt 1, C7, L0109999999, and C(3) = Sch. E, Pt 2, C7, L0109999999 + L8209999999; and Line (2) C(3) = Footnote Amt 1 L000001A - SCE, Pt 2, C7, L0109999999.
4. An editorial change was made to the instructions and Annual Statement Source column on page XR008 to reference the new Schedule DA, Part 1 and Schedule E, Part 2 line numbers: “instructions and Line (34) = Schedule DA, Part 1, Column 7, Line 2509999999; (30) = Schedule E, Part 2, Column 7, Line 2509999999; and (31) = Schedule E, Part 2, Column 7, Line 8209999999.”
5. An editorial change was made to the Annual Statement Source on page XR015, Line (24) to update the column reference to Column 7 from Column 6.

## Investment Income Benchmarks

The Capital Adequacy (E) Task Force adopted proposal 2021-18-H (MOD) to add benchmarking guidelines to the underwriting instructions for investment income during its March 28 meeting.



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## RBC Forecasting and Instructions

The Health RBC forecasting spreadsheet calculates RBC using the same formula presented in the 2022 *NAIC Health Risk-Based Capital Forecasting & Instructions for Companies*, and it is available to download from the [NAIC Account Manager](#). The 2022 *NAIC Health Risk-Based Capital Forecasting & Instructions for Companies* publication is available for purchase in electronic format through the NAIC Publications Department. This publication is available on or about November 1 each year. The User Guide is no longer included in the Forecasting & Instructions.

**WARNING:** The RBC forecasting spreadsheet CANNOT be used to meet the year-end RBC electronic filing requirement. RBC filing software from an annual statement software vendor should be used to create the electronic filing. If the forecasting worksheet is sent instead of an electronic filing, it will not be accepted, and the RBC will not have been filed.



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Direct correspondence to: Crystal Brown, RBC Newsletters, NAIC, 1100 Walnut Street, Suite 1500, Kansas City, MO 64106-2197. Phone: (816) 783-8146. Email: [cbrown@naic.org](mailto:cbrown@naic.org).

Address corrections requested. Please mail the old address label with the correction to: NAIC Publications Department, 1100 Walnut Street, Suite 1500, Kansas City, MO 64106-2197. Phone: (816) 783-8300. Email: [prodserv@naic.org](mailto:prodserv@naic.org).