Short Term Limited Duration (STLD) Data Call - Validation Exceptions

Following are cross field exceptions that are built into the Regulatory Data Collection (RDC) application. The form_field numbers refer to line numbers found on the STLD reporting blank (pages 2 and 3).

Number Description

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| 1 | If form_field15="Yes" and form_field15a is null, then form_field15a must be completed |
| 2 | If form_field15="Yes" and form_field15b is null, then form_field15b must be completed |
| 3 | If form_field28="Yes" and form_field28a is null, then form_field28a must be completed |
| 4 | If form_field28="Yes" and form_field28b is null, then form_field28b must be completed |
| 5 | If form_field34="Yes" and form_field34a is null, then form_field34a must be completed |
| 6 | If form_field43="Yes" and form_field43a is null, then form_field43a must be completed |
| 7 | If form_field44="Yes" and form_field44a is null, then form_field44a must be completed |
| 8 | If form_field44="Yes" and form_field44b is null, then form_field44b must be completed |
| 9 | If form_field45="Yes" and form_field45a is null, then form_field45a must be completed |
| 10 | If form_field46="Yes" and form_field46a is null, then form_field46a must be completed |
| 11 | If form_field48="Yes" and form_field48a is null, then form_field48a must be completed |
| 12 | If form_field48="Yes" and form_field48b is null, then form_field48b must be completed |
| 13 | If form_field48="Yes" and form_field48c is null, then form_field48c must be completed |
| 14 | If form_field48="Yes" and form_field48d is null, then form_field48d must be completed |
| 15 | If form_field52="Yes" and form_field52a is null, then form_field52a must be completed |
| 16 | If form_field52="Yes" and form_field52b is null, then form_field52b must be completed |
| 17 | If form_field53="Yes" and form_field53a is null, then form_field53a must be completed |
| 18 | If form_field53="Yes" and form_field53b is null, then form_field53b must be completed |
| 19 | If form_field54="Yes" and form_field54a is null, then form_field54a must be completed |
| 20 | If form_field54="Yes" and form_field5ba is null, then form_field54b must be completed |
| 21 | If (form_field49="Yes" or form_field50="Yes") and form_field51a is null, then form_field51a must be completed |
| 22 | If (form_field49="Yes" or form_field50="Yes") and form_field51b is null, then form_field51b must be completed |
| 23 | If (form_field49="Yes" or form_field50="Yes") and form_field51c is null, then form_field51c must be completed |
| 24 | If (form_field49="Yes" or form_field50="Yes") and form_field51d is null, then form_field51d must be completed |
| 25 | If (form_field49="Yes" or form_field50="Yes") and form_field51e is null, then form_field51e must be completed |
| 26 | If (form_field49="Yes" or form_field50="Yes") and form_field51f is null, then form_field51f must be completed |
| 27 | If (form_field49="Yes" or form_field50="Yes") and form_field51g is null, then form_field51g must be completed |
| 28 | If (form_field49="Yes" or form_field50="Yes") and form_field51h is null, then form_field51h must be completed |
| 29 | If form_field39 is greater than 0 and form_field39a is null, then form_field39a must be completed |
| 30 | If general_field9="Yes" and general_field9a is null, then general_field9a must be completed |
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In addition to cross field validation exceptions, there are also form format validation exceptions. Below are examples of this type of validation exception:

- * Field is required
- * Field must be an integer (could also be string or decimal)
- * Duplicate record

Notes regarding duplicate records:

- * If the company code, state and form number combination filing has already been successfully submitted, and you try to resubmit it, you will receive a duplicate record validation exception. In this case, if it is necessary to resubmit the data, you will need to contact the NAIC to have the previous filing submission rejected.
- * If multiple state/form number combination filings are submitted using one excel file and it is necessary to resubmit one or more of the state/form combinations, the NAIC will completely reject the previous submission that included these filings. This means all filings submitted with those that need to be revised will need to be resent.
- * For example: Filings for form 123 and states MO, CO and LA are all submitted using multiple tabs in one Excel file. The filing for MO needs to be resubmitted. The NAIC will have to reject the previous filing that included MO, CO and LA. So, all three state filings will need to be resubmitted.