

Date: 3/20/17

*Conference Call*

**MARKET CONDUCT EXAMINATION STANDARDS (D) WORKING GROUP**

**Thursday, March 23, 2017**

**12:30 p.m. ET/11:30 a.m. CT/10:30 a.m. MT/9:30 a.m. PT**

**ROLL CALL**

Bruce R. Ramge, Chair	Nebraska	Cliff Day	New Jersey
Jim Mealer, Vice Chair	Missouri	Robert McLaughlin	New York
Damion Hughes	Colorado	Tracy Biehn	North Carolina
Kurt Swan	Connecticut	Angela Dingus	Ohio
Lee Backus/Sharon Shipp	District of Columbia	Joel Sander	Oklahoma
Mark Ossi/Debra Peirce	Georgia	Constance Arnold	Pennsylvania
Doug Ommen	Iowa	Christina Rouleau	Vermont
Russell Hamblen	Kentucky	Julie Fairbanks	Virginia
Rich Bradley	Massachusetts	Jeanette Plitt	Washington
Paul Hanson	Minnesota	Mark Hooker	West Virginia
Peggy Willard-Ross	Nevada	Cari Lee	Wisconsin
Win Pugsley	New Hampshire		

**AGENDA**

1. Consider Adoption of its March 3 Minutes—*Director Bruce R. Ramge (NE)* Attachment 1
2. Discuss Proposed *Market Regulation Handbook* Substantive Revisions Received from the Market Information Systems Research and Development (D) Working Group—*Director Bruce R. Ramge (NE)* Attachment 2
  - West Virginia – March 16 Comments
  - Center for Economic Justice – March 12 Comments
3. Review and Discuss Proposed New Content Re: Closing Continuum Actions, March 1 Draft, for Inclusion in the *Market Regulation Handbook*—*Director Bruce R. Ramge (NE)* Attachment 3
  - Iowa – March 16 Comments
  - Old Republic Title – March 3 Comments
4. Receive Report of Potential Inclusion of Content from Recently Adopted Models in the *Market Regulation Handbook*—*Director Bruce R. Ramge (NE)*
5. Discuss Any Other Matters Brought Before the Working Group—*Director Bruce R. Ramge (NE)*
6. Adjournment

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