

LIFE INSURANCE AND ANNUITIES REPLACEMENT MODEL REGULATION

What are the state pages?

This chart is intended to provide readers with additional information to more easily access state statutes, regulations, bulletins or administrative rulings related to the NAIC model. Such guidance provides readers with a starting point from which they may review how each state has addressed the model and the topic being covered. The NAIC Legal Division has reviewed each state's activity in this area and has determined whether the citation most appropriately fits in the Model Adoption column, Previous Version column, or Related Activity column based on the definitions listed in the key below. The NAIC's interpretation may or may not be shared by the individual states or by interested readers.

How do you use them?

States and territories are listed alphabetically in the chart. Locate the state or territory you are interested in, and depending on which column the citation falls under, you will know whether the NAIC Legal Division has deemed a state's law to be adoption of a model or not. To perform further research, use the citations to locate state laws.

Who do I speak to if I have questions?

If you have questions or believe information related to a state should be updated, please contact Jennifer Neuerburg at jneuerburg@naic.org.

***Disclaimer:** This chart does not constitute a formal legal opinion by the NAIC staff on the provisions of state law and should not be relied upon as such. Nor does this state page reflect a determination as to whether a state meets any applicable accreditation standards. Every effort has been made to provide correct and accurate summaries to assist readers in locating useful information. Readers should consult state law for further details and for the most current information.*

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STATE PAGE KEY:

MODEL ADOPTION: States that have citations identified in this column adopted the most recent version of the NAIC model in a **substantially similar manner**. This requires states to adopt the model in its entirety but does allow for variations in style and format. States that have adopted portions of the current NAIC model will be included in this column with an explanatory note.

PREVIOUS VERSION: States that have citations identified in this column (and nothing listed in the Model Adoption column) have enacted an older version of the model but have **not** adopted the most recent version of the NAIC model.

RELATED ACTIVITY: Examples of Related Activity include but are not limited to statutes or regulations addressing the same subject matter, or other administrative guidance such as bulletins and notices. States that have citations identified in this column (and nothing listed in the Model Adoption column) have **not** adopted the most recent version of the NAIC model in a **substantially similar manner**.

NO CURRENT ACTIVITY: No state activity on the topic as of the date of the most recent update. This includes states that have repealed legislation as well as states that have never adopted legislation.

***Model Adoption refers to the 2000 version of the model. States that have citations identified in the Model Adoption column have laws substantially similar to the NAIC’s 2000 version of the model regulation.**

NAIC MEMBER	MODEL ADOPTION	PREVIOUS VERSION	RELATED ACTIVITY
Alabama	ALA. ADMIN. CODE r. 482-1-133-.01 to 482-1-133-.11 (2005/2008).		
Alaska	ALASKA ADMIN. CODE tit. 3, §§ 26.790 to 26.819 (2008) (portions of model).		
American Samoa	NO CURRENT ACTIVITY		
Arizona	ARIZ. REV. STAT. ANN. §§ 20-1241 to 20-1241.09 (2003/2010); ARIZ. ADMIN. CODE § 20-6-212 (1983/2007) (adopted NAIC replacement forms by reference).		
Arkansas	054.00.97 ARK. CODE R. §§ 1 to 11; Apps. A to C (2010).		ARK. CODE ANN. § 23-66-307 (1987/2009); BULLETIN 8-2004 (2004); BULLETIN 8-2009 (2009); BULLETIN 1-2010 (2010).
California		CAL. INS. CODE §§ 10509 to 10509.9 (1990/2017).	
Colorado	3 COLO. CODE REGS. §§ 702-4:4-1-4; Apps. A to C (1972/2019).		

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NAIC MEMBER	MODEL ADOPTION	PREVIOUS VERSION	RELATED ACTIVITY
Connecticut	CONN. AGENCIES REGS. §§ 38A-435-1 to 435-8 (2013).		
Delaware		DEL. CODE REGS. tit. 18, § 1204 (1984/2003).	
District of Columbia	NO CURRENT ACTIVITY		
Florida		FLA. ADMIN. CODE ANN. r. §§ 69B-151.001 to 69B-151.202 (1981/2018).	MEMORANDUM 2010-007 (2010).
Georgia		GA. COMP. R. & REGS. 120-2-24 (1972/2007).	
Guam	NO CURRENT ACTIVITY		
Hawaii	HAWAII. REV. STAT. §§ 431:10D-501 to 431:10D-509 (2001/2008).		
Idaho		IDAHO ADMIN. CODE r.18.01.41 (1983/1993).	
Illinois		ILL. ADMIN. CODE tit. 50, §§ 917.20 to 917.110 (1970/2002).	
Indiana		760 IND. ADMIN. CODE 1-16.1-1 to 1-16.1-13.5 (2007/2013).	
Iowa	IOWA ADMIN. CODE r. 191-16.21 to 191-16.30 (1983/2002).	BULLETIN 2009-4 (2009).	
Kansas		KAN. ADMIN. REGS. § 40-2-12 (1971/1993).	
Kentucky	806 KY. ADMIN. REGS. 12:080 (1983/2005).	KY. REV. STAT. ANN. § 304.12-030 (1970/2010).	ADVISORY OPINION 2014-2 (2014).
Louisiana	LA. ADMIN. CODE §§ 37:XIII.8901 to 37:XIII.8925 (Regulation 70) (2000/2002).		
Maine	02-031 ME. CODE R. ch. 919, §§ 1 to 10 (2007).		

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Maryland	MD. CODE REGS. 31.09.05.01 to 31.09.05.12 (1962/2018).		
Massachusetts		211 MASS. CODE REGS. 34.01 to 34.09 (1987).	
Michigan		MICH. ADMIN. CODE r. 500.601 to 500.606 (1971/1984).	
Minnesota		MINN. STAT. §§ 61A.53 to 61A.60 (1996/2009) (different replacement notice).	
Mississippi	19-2:14 MISS. CODE R. § 01-13 (2012).		
Missouri	MO. CODE REGS. ANN. tit. 20, § 400-5.400 (1979/2016) (includes 2015 amendment).		
Montana	MONT. ADMIN. R. 6.6.301 to 6.6.313 (1978/2017).		MONT. ADMIN. R. 33-20-105 (1959/2005).
Nebraska	210 NEB. CODE R. § 19 (1984/2008).		BULLETIN CB-56 (Amended #2) (2010).
Nevada		NEV. ADMIN. CODE §§ 686A.510 to 686A.577 (1980/2006).	BULLETIN 2008-007 (2008).
New Hampshire	N.H. CODE R. INS. 302.01 to 302.10; Apps. A to C (2001/2017).		
New Jersey	N.J. ADMIN. CODE §§ 11:4-2.1 to 11:4-2.9; Apps. A to C (1972/2019).		
New Mexico	N.M. CODE R. §§ 13.9.6.1 to 13.9.6.16 (1997/2016).		
New York			N.Y. COMP. CODES R. & REGS. tit. 11, §§ 51.1 to 51.8; Apps. 10A, 10B, 10C and 11 (Regulation 60) (1998/2015); GEN. COUNSEL OPINION 5-30-2006 (2006).

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North Carolina	11 N.C. ADMIN. CODE 12.0601 to 12.0612 (1985/2004).		
North Dakota	NO CURRENT ACTIVITY		
Northern Marianas	NO CURRENT ACTIVITY		
Ohio	OHIO ADMIN. CODE § 3901-6-05 (1983/2019).		
Oklahoma			OKLA. STAT. ANN. tit. 36, §§ 4031 to 4038 (1983/1984).
Oregon	OR. ADMIN. R. 836-80-0001 to 836-80-0043 (1968/2008).		
Pennsylvania		31 PA. CODE §§ 81.1 to 81.9; Apps. A to B (1986).	40 PA. STAT. ANN. § 625-9 (1921/1996).
Puerto Rico			P.R. RULE XLII (1957).
Rhode Island	230 R.I. CODE R. §§ 20-25-4.1 to 20-25-4.11 (2018) (includes 2015 amendment).		
South Carolina	S.C. CODE ANN. REGS. 69-12.1 (1986/2009).		
South Dakota		S.D. ADMIN. R. 20:06:08:49 to 20:06:08:65 (1989/2012).	
Tennessee		TENN. COMP. R. & REGS. 0780-1-24 (1985).	
Texas		TEX. INS. CODE ANN. §§ 1114.001 to 1114.007 (2007/2011).	28 TEX. ADMIN. CODE §§ 3.9501 to 3.9506 (2007) (notice).
Utah	UTAH ADMIN. CODE r. 590-93-1 to 590-93-12 (1984/2013).		
Vermont	VT. ADMIN. CODE §§ 4-3-43:1 to 4-3-43:10 (Regulation I-2001-03); Apps. A to C (1989/2002).		

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Virgin Islands	NO CURRENT ACTIVITY		
Virginia	14 VA. ADMIN. CODE §§ 5-30-10 to 5-30-90 (1982/2008).		
Washington		WASH. ADMIN. CODE 284-23-400 to 284-23-485 (1980/2010).	
West Virginia	W. VA. CODE R. §§ 114-8-1 to 114-8-9; Apps. A to C (1970/2008).		
Wisconsin	Wis. ADMIN. CODE INS. § 2.07 (1972/2009).		BULLETIN 9-29-2009 (2009).
Wyoming	NO CURRENT ACTIVITY		